



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LUCA BRAMBILLA ET AL.

Serial No.: 10/758,515

Filed: January 15, 2004

For: PRODUCTION OF HETEROLOGOUS  
PROTEINS FROM ZYGOSACCHAROMYCES  
BAILII

Group Art Unit: 1636

Examiner: Unknown

Attorney Docket: 2027.633082/RFE  
(2006330)

CUSTOMER NO. 23720

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date below.

April 30, 2004

  
Cynde Meinhart

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

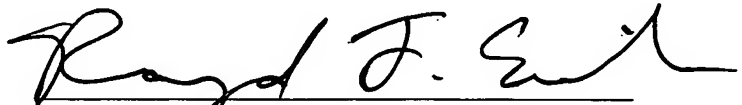
The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2027.633082RFE.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
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April 30, 2004



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AGENT FOR APPLICANTS

Form PTO-1449 (modified)

Atty. Docket No.  
2027.633082/RFESerial No.  
10/758,515

List of Patents and Publications for Applicant's

Applicants  
Luca Brambilla et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
January 15, 2004Group:  
1636U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2002/0034805A1	03/21/2002	Gilbert et al.	435	193	12/14/1998
	A2	4,626,505	12/02/1986	Falco	435	172.3	02/24/1984
	A3						
	A4						
	A5						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Thompson et al., <i>Yeast Functional Analysis Reports</i> 14:565-571 (1998)
	C2	Steyn et al., <i>Gene</i> 100:85-93 (1991)
	C3	Porro et al., <i>Appl. Microbiol. Biotechnol.</i> 34:632-636 (1991)
	C4	Ogawa et al., <i>Agricultural and Biological Chemistry</i> 54:2521-2529 (1990)
	C5	Ushio et al., <i>Journal of Fermentation Technology</i> 66:481-488 (1988)
	C6	Utatsu et al., <i>Journal of Bacteriology</i> 169:5537-5545 (1987)
	C7	Sugihara et al., <i>Agricultural and Biological Chemistry</i> 50:1503-1512 (1986)
	C8	Martinez et al., <i>Biotechnology Techniques</i> 7:895-896 (1993)
	C9	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

